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Male Sex - An Independent Risk Factor for Mortality in Very Old Intensive Care Patients With Respiratory Failure

Among 1,841 very old ICU patients with respiratory failure, although women had higher age and frailty, males had higher 30-day mortality assessed one month after ICU admission. Particularly in light of the ongoing pandemic, male patients appear to be at significantly higher risk for worse outcomes than females.

Introduction

Demographic change does not stop at the intensive care unit (ICU). Among all ages, the very old ICU patients (VIP) are a fast-expanding subgroup (Flaatten et al. 2017a). For Europe, approximately 24.4 million people older than 85 years in 2040 are expected. But this development is not limited to Europe; it has been assumed that worldwide the percentage of patients older than 60 years will rise from approximately 12% in 2013 to 21% in 2050 (Leblanc et al. 2017). This demographic shift already has an impact on the admission rates of VIP in ICU in the past decade (Ihra et al. 2012). This observation has potential major impact on hospital, ethical issues, and the health economy. VIPs are generally patients at risk; large prospective trials found a 30-day-mortality of 42% (de Lange et al. 2019). Within this special group of VIPs, gender differences in treatment outcomes have been reported for many medical conditions (Schiele et al. 2011). However, in this particularly vulnerable high-risk population of ICU patients, the available evidence is heterogeneous (Schoeneberg et al. 2013; Park et al. 2018). Male and female ICU patients differ in baseline characteristics, predisposition to disease, and

these differences may influence outcomes (Tibullo and Esquinas 2019; Cillóniz et al. 2019). In very old septic VIPs male sex was an independent negative predictor of prognosis (Cillóniz et al. 2019; Martin et al. 2003). In contrast, female sex was identified as a risk factor for discontinuation or refusal of further ICU treatment (Block et al. 2019), although elderly women have a significantly higher survival after ICU stay than men (Hollinger et al. 2019). A recently published retrospective analysis of 17,146 ICU patients with sepsis found no clinically relevant sex-specific mortality differences (Wernly et al. 2020a). However, in another study of 7,555 very old intensive care patients, male sex was an independent risk factor for an adverse 30-day-mortality but not ICU-mortality (Wernly et al. 2020b).

Currently, it is unclear whether these observations also apply to patients with respiratory failure. This subgroup analysis uses data from two recent large, multinational studies of VIPs to compare male and female patients with regards to crude unadjusted and adjusted baseline characteristics and outcomes (Guidet et al. 2019; Flaatten et al. 2017b; D'Agnostino 2007; Guidet et al. 2020).

Methods

VIP1 and VIP2 were prospective, multicentre studies (ID: NTC03134807, NCT03370692) that recruited very old intensive care patients. VIPs were defined as patients admitted to an ICU and being 80 years or older. The recruitment process differed slightly between the two studies: For VIP1, each participating ICU could include either consecutive patients for three months or the first 20 consecutive patients fulfilling the inclusion criteria (all patients 80 years of age or older). Thus, data were collected between October 2016 and February 2017. For VIP2, VIPs were included from May 2018 to May 2019. In both studies, all experimental protocols were approved by the local institutional and/or licensing committees. Informed consent was obtained from all subjects if not omitted by the ethics vote. This post-hoc analysis of these two prospective trials examines all patients who were admitted for respiratory failure. The primary endpoint of this study was

30-day-mortality. Frailty was assessed by CFS as described previously (Rockwood et al. 2005; Jorm and Jacomb 1989; Katz 1983). Continuous data points are expressed as median \pm interquartile range depending on the distribution. Differences between independent groups were calculated using the Mann-Whitney U-test. Categorical data are expressed as numbers (percentage). Chi-square test was applied to calculate differences. Univariable and multivariable logistic regression analysis was performed to assess associations with mortality. Odds ratios (OR) and adjusted odds ratios (aOR) with respective 95% confidence intervals (CI) were calculated. All tests were two-sided, and a p-value of <0.05 was considered statistically significant. Stata 16 was used for all statistical analyses.

Results

A subgroup of 1,841 patients with respiratory failure (938 male, 903 female) was included. Patient characteristics are

summarised in **Table 1**. In the cohort, the proportion of nonagenarians was significantly greater among women. The mean age was also significantly lower (83 ± 5 years for males versus 84 ± 4 for females, $p < 0.001$). When frailty was used as a binary marker (CFS > 4), significantly more women than men (43.1% in males versus 51.6% in females, respectively, $p > 0.001$) could be classified as frail. Accordingly, the mean CFS was higher in females (4 ± 3 for males, and 5 ± 3 in males, respectively, < 0.001). There was no difference in the use of invasive ventilation (47.3% in males versus 46.1% in females, respectively, $p = 0.602$), and vasoactive drugs (44.7% versus 41.2%, $p = 0.124$). Only very few patients needed renal replacement therapy without any difference between both groups (7.7% in males and 7.5% in females, respectively, $p = 0.887$). We found no difference between men and women in the limitation of life sustaining therapy.

	MALE	FEMALE	p
Invasive Ventilation (yes, n (%))	468 (47.3)	436 (46.1)	0.602
Vasoactive Drugs (yes, n (%))	442 (44.7)	390 (41.2)	0.124
Renal replacement therapy (yes, n (%))	76 (7.7)	71 (7.5)	0.887
Frailty (yes, n (%))	426 (43.1)	487 (51.6)	< 0.001
Nonagenarians (yes, n (%))	58 (5.9)	100 (10.6)	< 0.001
Any limitation of life sustaining therapy, n (%)	345 (35.1)	357 (37.8)	0.209
Age [years]	83 (5)	84 (4)	< 0.001
CFS	4 (3)	5 (3)	< 0.001
SOFA	6 (5)	6 (5)	0.0076

Table 1. Baseline characteristics in the cohort, male versus female patients

CFS – Clinical Frailty Scale; SOFA – Sepsis-related organ failure assessment.

30-day-mortality was significantly higher in males (43% vs. 35%; OR 1.16 95% CI 1.06-1.26; $p < 0.001$) in univariate analysis (**Figure 1**). The association between male sex and increased mortality remained after multivariable adjustment for age, SOFA, and frailty (aOR 1.18 95% CI 1.07-1.29; $p = 0.001$).

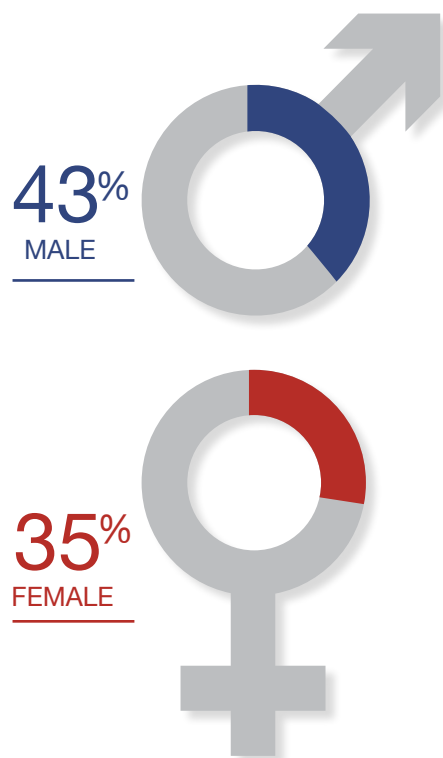


Figure 1. Comparison of 30-day-mortality (male and female patients)

Discussion

Male sex is an independent risk factor for mortality in very old intensive care patients with respiratory failure. Our previously published results also show different results depending on the subgroup and collective analysed (Wernly et al. 2020a; Wernly et al. 2020b). Thus, based on current scientific evidence, it might be speculated that the gender influence on mortality manifests itself primarily in elderly men with respiratory failure. It should be noted that not all types of respiratory failure have an infectious aetiology. This analysis shows that elderly male patients with respiratory insufficiency

have a significantly worse prognosis than women, regardless of aetiology.

In general, data on gender differences in ICU patients are controversial. In the total cohort, we found male sex to be an independent risk factor for an adverse 30-day-mortality in very old intensive care patients (Wernly et al. 2020b). Our current results are in line with Cillóniz et al. (2019) who analysed 1,238 very old intensive care patients with community-acquired pneumonia. Men were significantly more likely to develop septic courses in this cohort, and male sex was an independent predictor of this in this context. However, in a large retrospective analysis of septic adult ICU patients of all ages, no gender difference was demonstrated (Wernly et al. 2020a). Accordingly, no gender difference was observed in a large cohort study on 25,998 patients without age-restriction for illness-adjusted mortality (Valentin et al. 2003).

It is well known from the literature that women and men are treated differently in the ICU. For example, Block et al. (2019) performed a registry study and used data from the Swedish Intensive Care Registry from 2014 to 2016. They analysed 97,095 patients and found that being female resulted in an increased risk for a treatment limitation of life sustaining therapy (OR 1.18; CI 1.13-1.23). However, in the present sub-study from the VIP-trials in VIPs with respiratory failure, we could not observe this effect. If these gender differences do exist, the cause remains unclear and needs further exploration. Various explanatory models exist. For example, socioeconomic factors might influence outcomes (Ski et al. 2014). In addition, depending on the genesis of respiratory failure, there also appear to be significant pathophysiological-biochemical differences between the sexes which became especially apparent during the current SARS-CoV-2 pandemic.

It is known that when men are infected with viruses, they have increased susceptibility, different early pathogenesis,

and a different balance in immune response and tissue consequences (Scully et al. 2020). It has been observed early that severity of the COVID-19 disease, outcome as well as antibody titers differed (Klein et al. 2020a). In SARS-CoV-2, there exist mechanistic differences between genders including in the expression and activity of angiotensin-converting enzyme 2 (ACE2) as well as in antiviral immunity (Klein et al. 2020b). In mice, it has been described that males are more susceptible to SARS-CoV compared to age-matched female mice. In these *in vivo* studies, male mice consecutively evidenced higher virus titers, resulting in more vascular leakage, alveolar oedema, and more inflammatory migration of monocytes, macrophages, and neutrophils. Interestingly, these gender-specific effects increased with advancing age, but decreased when female mice received ovariectomy or were treated with an oestrogen receptor antagonist (Channappanavar et al. 2017). In fact, several important mediators of the immune response such as monocytes, macrophages, and neutrophils express surface oestrogen receptors. The activation of these receptors leads to an enhanced production of interferon I and III, resulting in a reduced immune response, increased immune tolerance and antibody production (Mauvais-Jarvis et al. 2020; Suba 2020).

In the human disease course, this might explain why females with severe COVID-19 evidence lower inflammatory biomarkers compared to men (Mussini et al. 2021; Qin et al. 2020). Another centrally involved protein is transmembrane serine protease 2 (TMPRSS2). Together with other proteins, TMPRSS2 plays a crucial role in cell entry of coronaviruses. In fact, the viral surface spike SARS-CoV-2 protein penetrates host cells. TMPRSS2 splits the viral spike protein, which increases viral attachment to cell membranes (Hoffman et al. 2020). Under physiologic conditions, TMPRSS2 is upregulated by androgenic hormones and is primarily expressed in prostate secretory epithelial cells (Afshari et al. 2020).

At least for coronaviruses, these gender differences appear to have implications resulting in an increased viral uptake in men (Qiao et al. 2020). Another possible pathogenesis leading to a milder course of respiratory failure in women compared with men appears to be Mas-receptor. *In vitro*, oestrogen increases the Mas-receptor expression leading to an attenuated endothelial leakage. *In vivo*, ovariectomy resulted in a decreased Mas-receptor expression and increased pulmonary damage (Erfinanda et al. 2021). Some gender differences in the immunomodulation are additionally dependent on the ageing process [immunoageing] (Gebhard et al. 2020).

These observations may be relevant in the context of currently tested immunomodulatory therapeutic approaches in critically ill COVID-19 patients. In a recent multicentre study, COVID-19 increased the risk of ICU-acquired bloodstream infections. This was possibly attributed to the increased use of anakinra and tocilizumab. Thus, the use of these drugs might be deleterious

in elderly COVID-19 patients (Buetti et al. 2021).

Hence, our findings of differences in outcome in elderly patients with respiratory failure was already present before the existence of the SARS-CoV-2 pandemic. This needs further exploration but especially attention during the treatment of ICU patients. However, these findings became even more up-to-date in

there should be an awareness that there are gender differences - especially in elderly critically ill patients that affect outcome

COVID-19. Currently, a global prospective observational study in 346 ICUs in 43 countries is investigating the outcome of very elderly patients infected with COVID-19 (Jung et al. 2021). We expect this study

to provide deeper insights into this topic (NCT04321265, www.vipstudy.org).

In sum of these findings, there should be an awareness that there are gender differences - especially in elderly critically ill patients that affect outcome.

Conclusion

In very old ICU patients with respiratory failure, females evidence higher age and frailty, but male sex is an independent predictor of one month mortality. Particularly considering the ongoing pandemic, gender-specific management both during and after an ICU stay might reduce gender-specific outcomes.

Conflict of Interest

The authors declare that they have no competing interests.

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No (industry) sponsorship has been received for this investigator-initiated study. ■

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