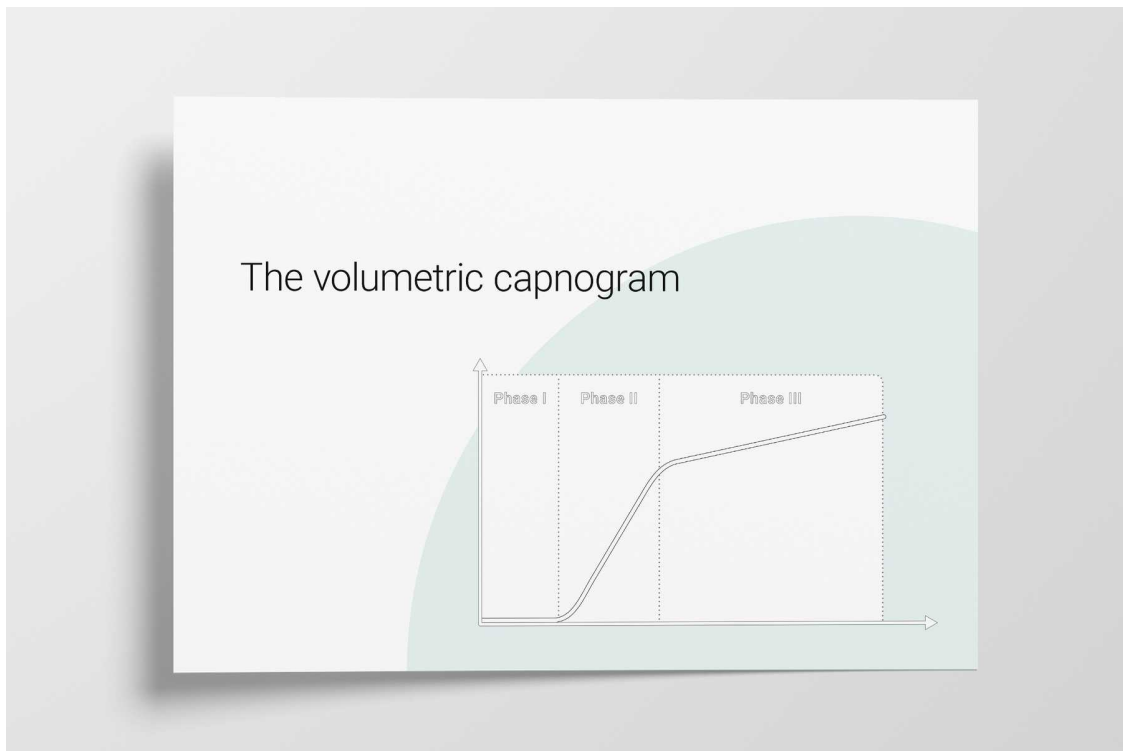


## Basics of Volumetric Capnography - Part 1: Benefits and Volumetric Capnogram

A single breath curve in volumetric capnography exhibits three characteristic phases of changing gas mixtures. Learn more about anatomical dead space, the transition phase, and the plateau phase.



### Introduction

Carbon dioxide (CO<sub>2</sub>) is the most abundant gas produced by the human body. CO<sub>2</sub> is the primary drive to breathe and a primary motivation for mechanically ventilating a patient. [Monitoring the CO<sub>2</sub> level during respiration](#) (capnography) is noninvasive, easy to do, relatively inexpensive, and has been studied extensively.

Capnography has improved over the last few decades thanks to the development of faster infrared sensors that can measure CO<sub>2</sub> at the airway opening in real time. By knowing how CO<sub>2</sub> behaves on its way from the bloodstream through the alveoli to the ambient air, physicians can obtain useful information about ventilation and perfusion.

There are two distinct types of capnography: Conventional, time-based capnography allows only qualitative and semi-quantitative, and sometimes misleading, measurements, so volumetric capnography has emerged as the preferred method to assess the quality and quantity of ventilation.

### Benefits of volumetric capnography

- Improves, simplifies, and complements patient monitoring in relation to metabolism, circulation, and ventilation (V/Q)
- Provides information about the homogeneity or heterogeneity of the lungs
- [Trend functions](#) and reference loops allow for more comprehensive analysis of the patient condition
- Multiple clinical applications, such as detection of early signs of pulmonary emboli, COPD, ARDS, etc.
- Helps you optimize your ventilator settings
- Is easy to do and is relatively inexpensive

In short, [volumetric capnography is a valuable tool to improve the ventilation quality and efficiency](#) for your ventilated patients.

## The three phases of the volumetric capnogram

The alveolar concentration of carbon dioxide (CO<sub>2</sub>) is the result of metabolism, cardiac output, lung perfusion, and ventilation. Change in the concentration of CO<sub>2</sub> reflects perturbations in any or a combination of these factors. Volumetric capnography provides continuous monitoring of CO<sub>2</sub> production, ventilation/perfusion (V/Q) status, and airway patency, as well as function of the ventilator breathing circuit itself.

Expired gas receives CO<sub>2</sub> from three sequential compartments of the airways, forming three recognizable phases on the expired capnogram. A single-breath curve in volumetric capnography exhibits these three characteristic phases of changing gas mixtures - they refer to the airway region in which they originate:

- Phase I - Anatomical dead space
- Phase II - Transition phase: gas from proximal lung areas and fast-emptying lung areas
- Phase III - Plateau phase: gas from alveoli and slow-emptying areas

Using features from each phase, [physiologic measurements can be calculated](#).

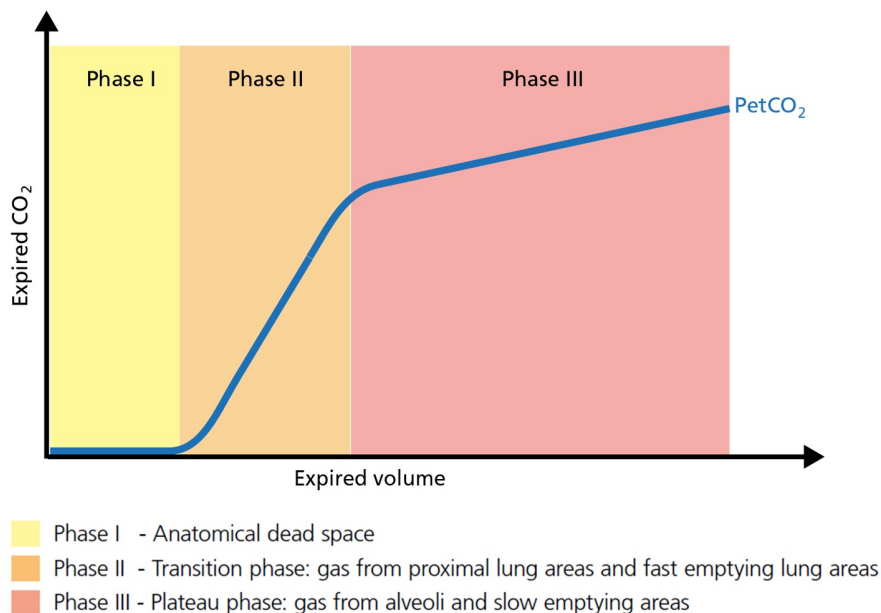


Figure 1: The three phases of the volumetric capnogram

### Phase I of the volumetric capnogram: anatomical dead space

The first gas that passes the sensor at the onset of expiration comes from the airways and the breathing circuit where no gas exchange has taken place = anatomical + artificial dead space. This gas usually does not contain any CO<sub>2</sub>. Hence the graph shows movement along the X-axis (expired volume), but no gain in CO<sub>2</sub> on the Y-axis (Figure 2).

**Good to know:** A prolonged Phase I indicates an increase in anatomical dead space ventilation (V<sub>Daw</sub>). Presence of CO<sub>2</sub> during Phase I indicates rebreathing or that the sensor needs to be recalibrated.

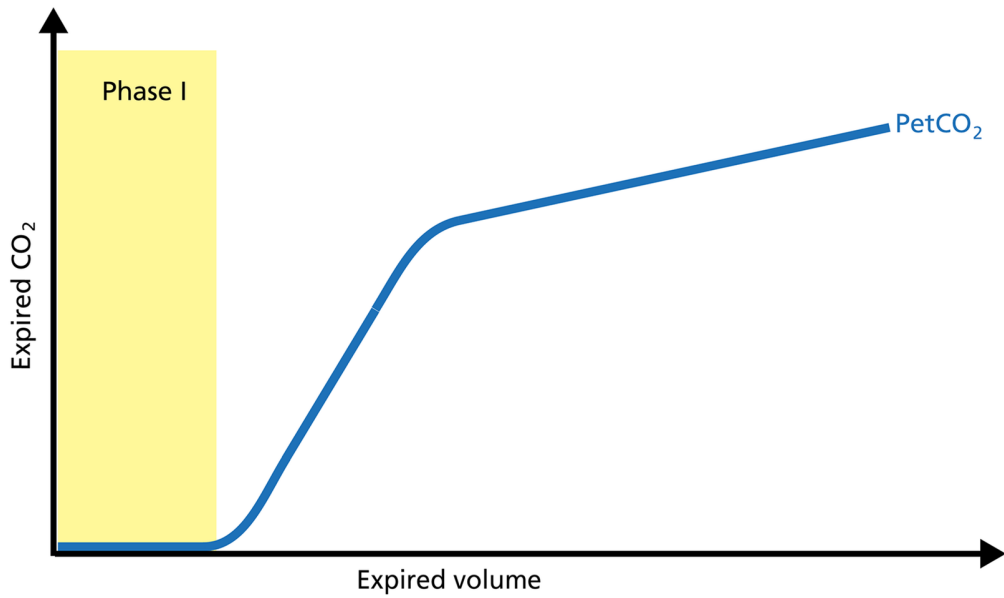


Figure 2: Phase I of the volumetric capnogram

### Phase II of the volumetric capnogram: transition phase

Phase II represents gas that is composed partially of distal airway volume and mixed with gas from fast-emptying alveoli. The curve slope represents transition velocity between distal airway and alveolar gas - providing information about perfusion changes and also about airway resistances (Figure 3).

**Good to know:** A prolonged Phase II can indicate an increase in airway resistance and/or a Ventilation/Perfusion (V/P) mismatch.

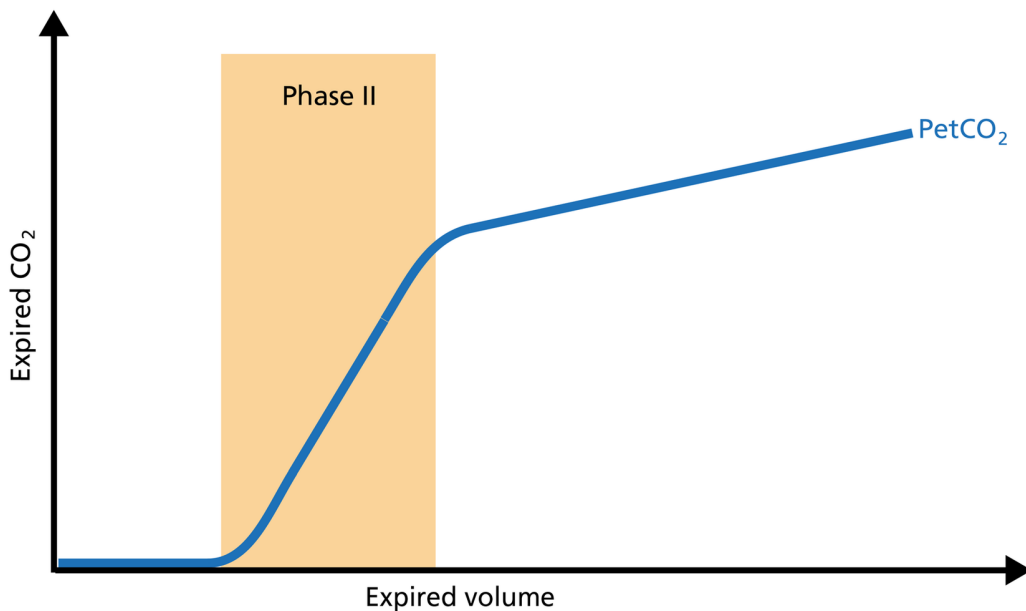


Figure 3: Phase II of the volumetric capnogram

### Phase III of the volumetric capnogram: plateau phase

Phase III gas is entirely from the alveoli where the gas exchange takes place. This phase is representative of gas distribution. The final CO<sub>2</sub> value in Phase III is called end-tidal CO<sub>2</sub> (PetCO<sub>2</sub>) (Figure 4).

**Good to know:** A steep slope in Phase III provides information about lung heterogeneity with some fast- and some slow-emptying lung areas. For example, an obstructed airway results in insufficiently ventilated alveoli, inducing high CO<sub>2</sub> values and increased time constants in this region.

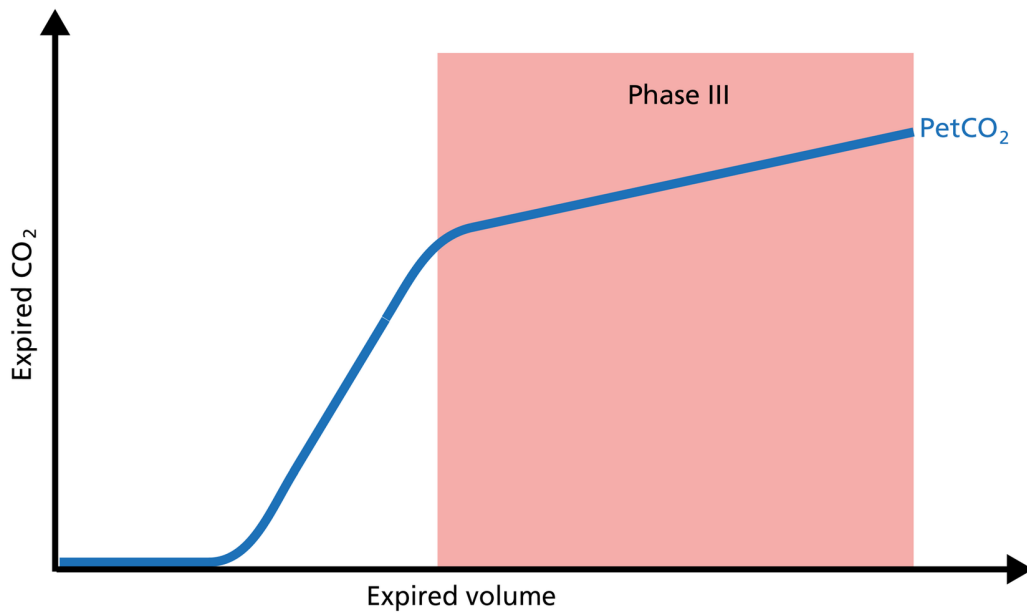


Figure 4: Phase III of the volumetric capnogram

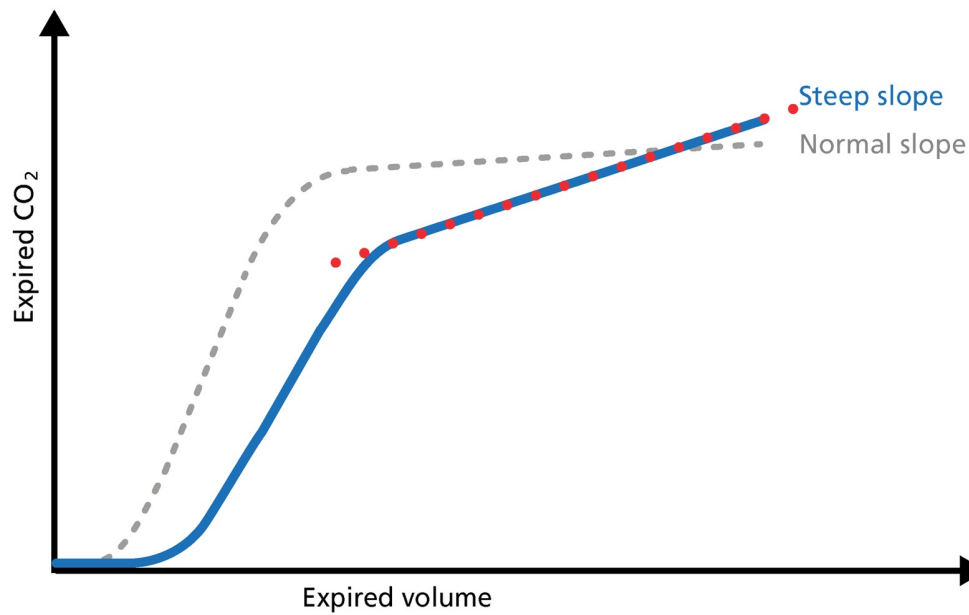


Figure 5: Slope of Phase III

### Slope of Phase III

The slope of Phase III is a characteristic of the volumetric capnogram shape. This slope is measured in the geometric center of the curve, which is defined as the middle two quarters lying between V<sub>Daw</sub> and the end of exhalation (Figure 5).

**Good to know:** In Phase III, a steep slope can be seen, for example, in COPD and ARDS patients.

### Volumetric capnography on Hamilton Medical ventilators

All [Hamilton Medical ventilators offer volumetric capnography](#) (All models except HAMILTON-MR1A). It is available as an option on the HAMILTON-C6, the HAMILTON-G5, the HAMILTON-C3, and the HAMILTON-C1/T1, and as a standard feature on the HAMILTON-S1.

© For personal and private use only. Reproduction must be permitted by the copyright holder. Email to [copyright@mindbyte.eu](mailto:copyright@mindbyte.eu).

**Author:** Karjaghli Munir, Respiratory Therapist, Hamilton Medical Clinical Application Specialist; Matthias Himmelstoss, ICU Nurse, MSc Physics, Product Manager

**Date of first publication:** 16.11.2023

**Source:** [Hamilton Medical](#)

#### References:

1. Anderson JT, Owings JT, Goodnight JE. Bedside noninvasive detection of acute pulmonary embolism in critically ill surgical patients. *Arch Surg.* 1999;134(8):869-875. doi:10.1001/archsurg.134.8.869
2. Aström E, Niklason L, Drefeldt B, Bajc M, Jonson B. Partitioning of dead space--a method and reference values in the awake human. *Eur Respir J.* 2000;16(4):659-664. doi:10.1034/j.1399-3003.2000.16d16.x
3. Blanch L, Romero PV, Lucangelo U. Volumetric capnography in the mechanically ventilated patient. *Minerva Anestesiol.* 2006;72(6):577-585.
4. Eriksson L, Wollmer P, Olsson CG, et al. Diagnosis of pulmonary embolism based upon alveolar dead space analysis. *Chest.* 1989;96(2):357-362. doi:10.1378/chest.96.2.357
5. Fletcher R, Jonson B, Cumming G, Brew J. The concept of deadspace with special reference to the single breath test for carbon dioxide. *Br J Anaesth.* 1981;53(1):77-88. doi:10.1093/bja/53.1.77
6. Kallet RH, Daniel BM, Garcia O, Matthay MA. Accuracy of physiologic dead space measurements in patients with acute respiratory distress syndrome using volumetric capnography: comparison with the metabolic monitor method. *Respir Care.* 2005;50(4):462-467.
7. Kiiski R, Takala J. Hypermetabolism and efficiency of CO<sub>2</sub> removal in acute respiratory failure. *Chest.* 1994;105(4):1198-1203. doi:10.1378/chest.105.4.1198
8. Kumar AY, Bhavani-Shankar K, Moseley HS, Delph Y. Inspiratory valve malfunction in a circle system: pitfalls in capnography. *Can J Anaesth.* 1992;39(9):997-999. doi:10.1007/BF03008353
9. Nuckton TJ, Alonso JA, Kallet RH, et al. Pulmonary dead-space fraction as a risk factor for death in the acute respiratory distress syndrome. *N Engl J Med.* 2002;346(17):1281-1286. doi:10.1056/NEJMoa012835
10. Olsson K, Jonson B, Olsson CG, Wollmer P. Diagnosis of pulmonary embolism by measurement of alveolar dead space. *J Intern Med.* 1998;244(3):199-207. doi:10.1046/j.1365-2796.1998.00356.x
11. Pyles ST, Berman LS, Modell JH. Expiratory valve dysfunction in a semiclosed circle anesthesia circuit--verification by analysis of carbon dioxide waveform. *Anesth Analg.* 1984;63(5):536-537.
12. RADFORD EP Jr. Ventilation standards for use in artificial respiration. *J Appl Physiol.* 1955;7(4):451-460. doi:10.1152/jappl.1955.7.4.451
13. Rodger MA, Jones G, Rasuli P, et al. Steady-state end-tidal alveolar dead space fraction and D-dimer: bedside tests to exclude pulmonary embolism. *Chest.* 2001;120(1):115-119. doi:10.1378/chest.120.1.115
14. Yaron M, Padyk P, Hutsiniller M, Cairns CB. Utility of the expiratory capnogram in the assessment of bronchospasm. *Ann Emerg Med.* 1996;28(4):403-407. doi:10.1016/s0196-0644(96)70005-7
15. Wolff G, Brunner JX, Grädel E. Gas exchange during mechanical ventilation and spontaneous breathing. Intermittent mandatory ventilation after open heart surgery. *Chest.* 1986;90(1):11-17. doi:10.1378/chest.90.1.11
16. Wolff G, X. B. J. , Weibel W., Bowes C.L. , Muchenberger R., Bertschmann W. (1989). Anatomical and series dead space volume: concept and measurement in clinical practice. *Applied cardiopulmonary pathophysiology*, 2, 299-307.

Published on : Thu, 16 Nov 2023